

DOCKET NO.: MSFT-0232/160298.01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ravisankar Pudipeddi, et al.

Application No.: 09/783,820

Filing Date: February 15, 2001

For: CONCURRENT DATA RECALL IN A HIERARCHICAL STORAGE  
ENVIRONMENT USING PLURAL QUEUES

Confirmation No.: 5490

Group Art Unit: 2162

Examiner: Ehichioya, Fred I.

DATE OF DEPOSIT: February 16, 2005

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VA 22313-1450

TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicant-Initiated Interview Summary

Date of Interview: February 2, 2005

Interview Type: ☐ Personal ☒ Telephonic ☐ Electronic Mail ☐ Video Conference ☐ Other:

Participants:

From PTO: (Include Name and Title)

1. Fred I. Ehichioya, Examiner
2. Shahid Alam, Supervising Examiner
- 3.

For Applicant:

1. Peter M. Ullman, choose one: ~~Applicant~~, Attorney, Agent
2. , choose one: Applicant, ~~Attorney~~, Agent
3. , choose one: Applicant, Attorney, Agent
4. , choose one: Applicant, Attorney, Agent

☐ An exhibit or demonstration was included and is described below:

The claims discussed included: Claim(s) 1, 7, 2, 16, 17, 18, 21, 23, 24, 26-30

The ☒art ☐prior art discussed included:

Pence and Lerman, as cited in the January 7, 2005 Office Action

An agreement ☒was ☐was not reached.

☒It was agreed that the attached claims are allowable (as described more fully in the 1.116 Amendment provided herewith).

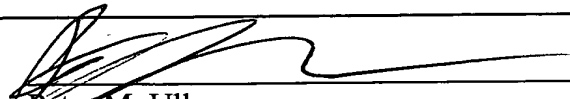
☒It was agreed that the attached amendment would be entered (as described in the 1.116 Amendment).

☒The interview is summarized below.

The features of timestamping a queue and increasing sequences on a queue were discussed. It was agreed that claims 1 would be allowable if amended to include the features of claims 2 and 7, and that claim 21 would be allowable if amended to include the features of claims 23 and 24. Additionally, tentative agreement was reached as to claim 16, as more particularly set forth in the 1.116 Amendment paper. Additional information about the interview is set forth in the 1.116 Amendment paper.

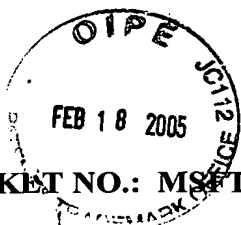
Date:

2-16-05

  
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In Re Application of:  
**Ravisankar Pudipeddi, et al.**

Confirmation No.: **5490**

Application No.: **09/783,820**

Group Art Unit: **2162**

Filing Date: **February 15, 2001**

Examiner: **Ehichioya, Fred I.**

For: **CONCURRENT DATA RECALL IN A HIERARCHICAL STORAGE  
ENVIRONMENT USING PLURAL QUEUES**

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TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

☐ MS Amendment ☒ MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REPLY TRANSMITTAL LETTER**

- ☐ A Preliminary Amendment.
- ☒ Reply Responsive to the Office Action Dated January 7, 2005.
- ☐ Supplemental to the Paper filed .
- ☐ A Substitute Specification (pages 1 - ) in clean form.
- ☐ A substitute specification (pages 1 - ) with markings.
- ☐ An Abstract is enclosed.
- ☐ replacement sheets of drawings are enclosed comprising figures .
- ☐ Request is hereby made to accept black and white photograph(s) in this case, as they are the only practicable medium for illustrating the claimed invention. One (1) set of black and white photographs comprising figure(s) is submitted herewith.

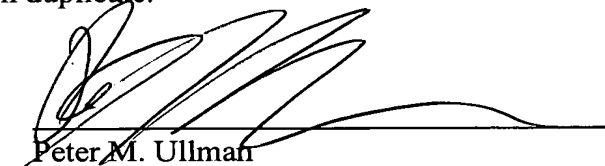
- ☐ Petition is hereby made to accept drawing(s)/photograph(s) in this case.
  - ☐ Three (3) sets of color drawing(s)/photograph(s) and black and white photocopy that accurately depicts to the extent possible, the subject matter shown in the color drawing(s)/photograph(s), are enclosed, comprising figures
  - ☐ An amendment to the first paragraph in that portion of the Brief Description of the Drawings is also enclosed herewith advising that the patent contains at least one drawing/photograph in color.
- ☐ A Certified Copy of each of the following applications: is enclosed.
- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
  - ☐ Attached Form 1449.
  - ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ A Terminal Disclaimer is attached.
- ☐ Appendices as follows:
- ☒ Other: Applicant-Initiated Interview Summary
- ☒ **No Additional Fee is Due.**
- ☐ Applicant(s) has previously claimed small entity status under 37 CFR § 1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR § 1.27 as
- ☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the U.S. Patent and Trademark Office.

				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	20	29 (20 MINIMUM)	0	\$25 EACH	\$	\$50 EACH	\$
INDEP. CLAIMS	3	4 (3 MINIMUM)	0	\$100 EACH	\$	\$200 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$180	\$	\$360	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$60	\$	\$120	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$225	\$	\$450	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$510	\$	\$1020	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$795	\$	\$1590	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$1080	\$	\$2160	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$ )	minus	(\$ )
<input type="checkbox"/> TERMINAL DISCLAIMER				\$65	\$ ;	\$130	\$
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							
TOTAL FEE DUE					\$		\$-0-

- ☐ A check in the amount of \$ \_\_\_\_\_.00 is attached. Please charge any deficiency or credit any overpayment to Deposit Account 23-3050.
- ☐ Please charge Deposit Account No. 23-3050 in the amount of \_\_\_\_\_.00. This sheet is attached in duplicate.
- ☐ Petition is hereby made under 37 CFR § 1.136(a) (fees: 37 CFR § 1.17(a)(1)-(4)) to extend the time for response to the Office Action of \_\_\_\_\_ to and through \_\_\_\_\_ comprising an extension of the shortened statutory period of \_\_\_\_\_ month(s).

- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 23-3050. This sheet is provided in duplicate.

Date: February 16, 2005

  
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